

PROCUREMENT PLAN

Basic Data

Project Name: Third Small Towns Water Supply and Sanitation Sector Project	
Project Number: 35173-013	Approval Number: 3157/8304/0405
Country: Nepal	Executing Agency: Ministry of Water Supply (formerly Ministry of Water Supply and Sanitation)
Project Financing Amount: US\$ 109,000,000 ADB Financing: US\$ 60,000,000 Cofinancing (ADB Administered): US\$ 21,300,000 Non-ADB Financing: US\$ 27,700,000	Implementing Agency: Department of Water Supply and Sewerage, Town Development Fund Project Closing Date: 31 July 2021
Date of First Procurement Plan: 19 September 2014	Date of this Procurement Plan: 9 December 2020, Version 8
Advance Contracting: Yes	e-GP: No

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 500,001 and Above	
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 500,000	The first NCB is subject to prior review, thereafter post review.
Shopping for Goods	Between US\$ 10,000 and US\$ 99,999	The first Shopping is subject to prior review, thereafter post review.
International Competitive Bidding for Works	US\$ 5,000,001 and Above	
National Competitive Bidding for Works	Between US\$ 100,000 and US\$ 5,000,000	The first NCB is subject to prior review, thereafter post review.
Shopping for Works	Between US\$ 10,000 and US\$ 99,999	
Community Participation in Procurement for Works	Up to US\$ 9,999	

Consulting Services	
Method	Comments
Quality- and Cost-Based Selection for Consulting Firm	
Consultant's Qualification Selection for Consulting Firm	
Others for Consulting Firm	Direct Charge to the grant component supported by the Sanitation Financing Partnership Trust Fund under the Water Financing Partnership Facility.
Individual Consultant Selection for Individual Consultant	

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
Enhancem	Enhancement works in	1,375,000.00	NCB	Post	1S2E	Q1 / 2020	Prequalification

ent 2	Western Towns						of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works Covid-19 Response? No
SCADA	Design, Supply, and Installation of SCADA System in selected towns	2,000,000.00	ICB	Prior	1S2E	Q3 / 2019	Prequalification of Bidders: N Domestic Preference Applicable: Y Bidding Document: Goods Covid-19 Response? No
TSTWSSSP/NCB-23/Mirchaiya_Siraha	Construction of Mirchaiya storm water drainage project	4,360,959.00	NCB	Prior	1S2E	Q3 / 2019	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works Covid-19 Response? No

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CS-05	Institutional Support and Service Advisory Consultant for ISSAUW	530,000.00	ICS	Prior	Q1 / 2018		Assignment: National Expertise:

							Financial Management, Institutional Development, Legal Advance Contracting: N Covid-19 Response? No Comments: New item added
CS-09	Septage Management Consultant	125,000.00	ICS	Prior	Q1 / 2019		Assignment: National Expertise: Septage Management Covid-19 Response? No Comments: Total six ICs proposed-Coordinator, Sanitary Engineer, Financial Specialist, Social Development Specialist, Environment Specialist, Structural Engineer

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
GW01	WUA Office Setup (Furniture and Equipment)	15,000.00	2	SHOPPING	Post		Q2 / 2017	Advanced Contracting: N Covid-19 Response? No
GW02	WUA	79,000.00	3	SHOPPING	Post		Q2 / 2017	

	computers and equipment			ING				Advanced Contracting: N Covid-19 Response? No
GW03	Septage and Sanitation Management (Works) Lot 1: TBD Lot 2: TBD Lot 3: TBD Lot 4: TBD Lot 5: TBD	150,000.00 30,000.00 30,000.00 30,000.00 30,000.00	5	CPP	Post		Q2 / 2017	Advanced Contracting: N Covid-19 Response? No
GW12	Office Equipment (Computer, printer and photocopiers for the PMO and RPMOs)	54,900.00	2	SHOPPING	Post		Q2 / 2017	Advanced Contracting: N Covid-19 Response? No
GW13	Office Equipment (Computer, printer and photocopiers for the PMO and RPMOs)	62,000.00	2	SHOPPING	Post		Q2 / 2017	Covid-19 Response? No
GW16	Septage and Sanitation Management (Works)	835,000.00	5	NCB	Prior	1S2E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works

	Lot 1: TBD	167,000.00						Covid-19 Response? No
	Lot 2: TBD	167,000.00						
	Lot 3: TBD	167,000.00						
	Lot 4: TBD	167,000.00						
	Lot 5: TBD	167,000.00						
GW19	Equipment, hardware and equipment support to WUSCs (Lot 1)	400,000.00	1	NCB	Prior	1S2E	Q4 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No
GW20	Equipment, Hardware and equipment to WUSCs (Lot 2)	400,000.00	1	NCB	Prior	1S2E	Q4 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No
GW21	Equipment, Hardware and equipment to WUSCs (Lot 3)	400,000.00	1	NCB	Prior	1S2E	Q4 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No

GW22	Equipment, Hardware and equipment to WUSCs (Lot 4)	400,000.00	1	NCB	Prior	1S2E	Q4 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No
GW23	Equipment, Hardware and equipment to WUSCs (Lot 5)	400,000.00	1	NCB	Prior	1S2E	Q4 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No
ISSAU Package 1	Laptop, Printer & Video Conferencing (including networking)System	99,500.00	1	SHOPPING	Post		Q1 / 2020	Covid-19 Response? No
ISSAU Package 2	Water Testing Equipments	60,000.00	1	NCB	Post	1S1E	Q4 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No Comments: Rebidding is proposed as no one firms qualified in the evaluation.
ISSAU Package 3	Flow meter and leak detection equipments	190,000.00	1	NCB	Post	1S1E	Q4 / 2020	Prequalification of Bidders: N Domestic

								Preference Applicable: N Bidding Document: Goods Covid-19 Response? No Comments: Rebidding is proposed as no one firms qualified in the evaluation.
ISSAU Package 4	Bulk Meter	50,000.00	1	SHOPP ING	Post		Q1 / 2020	Covid-19 Response? No
Shakhuwa- Mahendranagar	Distribution pipeline reinstate	95,000.00	1	SHOPP ING	Post		Q1 / 2020	Covid-19 Response? No
TSTWSSSP/NC B-08	Test Drilling Work	180,000.00	1	NCB	Post	1S2E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Advanced Contracting: N Bidding Document: Small Works Covid-19 Response? No
	Lot 2: Deep Tube well drilling and installation	180,000.00						
TSTWSSSP/Sho pping	WUAs office Setup (Furniture and Equipment)	35,000.00	1	SHOPP ING	Post		Q3 / 2018	Covid-19 Response? No

Vehicle	Vehicle to WUSC	500,000.00	1	NCB	Post	1S1E	Q1 / 2020	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Covid-19 Response? No
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Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
34	Institutional Development Support Consultants for TDF	71,857.14	1	ICS	Prior	Q4 / 2014		Assignment: International Expertise: Financial Intermediation Specialist Advance Contracting: N Covid-19 Response? No
41	Individual Consultants	20,000.00	3	ICS	Prior	Q1 / 2018		Assignment: National Expertise: (Third Party Verification/PMS/Expert Review and Advice) Covid-19 Response? No
DRTAC-04	Design Review and Technical Audit Consultants	30,000.00	1	ICS	Prior	Q1 / 2016		Assignment: National Expertise: Technical Auditor II

								Covid-19 Response? No
EFAS	Economic and Financial Analysis Specialist	8,520.00	1	ICS	Prior	Q4 / 2020		Assignment: National Expertise: Economics and financial analysis Covid-19 Response? No Comments: Agreed during recent mission held on Nov. 2020
ISSAU-1	Support Consulats for ISSAU	30,000.00	1	ICS	Prior	Q2 / 2019		Assignment: National Expertise: Non-revenue Water Expert, and IT Expert Covid-19 Response? No
PSA	Public Social Auditors	13,430.00	2	ICS	Prior	Q4 / 2020		Assignment: National Expertise: Social audits Covid-19 Response? No Comments: Agreed during recent mission held on Nov. 2020
WWTP	Wastewater Treatment Expert	75,000.00	1	ICS	Prior	Q2 / 2019		Assignment: International Expertise: Wastewater Treatment Expert

								Covid-19 Response? No
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B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
GW12	Office Equipment (Computer, printer and photocopiers for the PMO and RPMOs)	7,100.00	6,989.30	SHOPPING	Q2 / 2017		
GW10	Procurement of Cross country vehicles	102,629.75	102,571.17	SHOPPING	Q3 / 2014		
NCB07	Rehabilitation and expansion of existing water supply schemes in small towns	2,120,000.00	1,953,366.01	NCB	Q4 / 2015		
TSTWSSS P/NCB-01	Water Supply and Sanitation Improvement for Charaali, Mahendranagar-Sunsari, Mahendranagar-	1,813,333.33	1,204,586.11	NCB	Q4 / 2014		

	Sukhuwa						
TSTWSSSP/ICB-01	Water Supply and Sanitation Improvement for Rukum, Salyan, Chandrauta (in 3 Lots)	2,886,666.66	2,639,931.59	ICB	Q3 / 2014		
Enhancement 1	Enhancement in Eastern Towns	730,000.00	682,091.79	NCB	Q1 / 2020	30-JUN-20	Five packages combined into one
NCB05	Rehabilitation and expansion of existing water supply schemes in small towns	2,222,220.00	2,757,837.27	NCB	Q3 / 2015		
NCB06	Rehabilitation and expansion of existing water supply schemes in small towns	3,799,999.98	3,490,743.23	NCB	Q4 / 2015		
W22	Rehabilitation of Existing Water Supply Schemes in Small Towns (Eastern Region-4 towns)	1,360,000.00	1,672,988.45	NCB	Q1 / 2016		
W23	Rehabilitation of Existing Water Supply Schemes in Small Towns (Western -3 towns)	2,840,000.00	1,101,333.36	NCB	Q1 / 2016		
W24	Rehabilitation of Remaining Water Supply Schemes in Small Towns Eastern&Western Region (3 Towns)	1,300,000.00	975,837.21	NCB	Q1 / 2016		
GW04	Septage and Sanitation Management (Works)	1,100,000.00	1,277,440.62	NCB	Q2 / 2015	10-OCT-17	Two packages combined into one
GW17	Septage and Sanitation Management (Works)	334,000.00	1,277,440.62	NCB	Q2 / 2017	10-OCT-17	Two packages combined into one (Charali and kakarvitta towns)
TSTWSSSP/NCB-08	Test Drilling Work	152,428.21	152,428.21	NCB	Q2 / 2017		
NCB12	Water Supply and Sanitation Improvement for AnbuKhareni	1,860,000.00	1,467,596.67	NCB	Q2 / 2016	05-OCT-16	
W6	Water Supply and Sanitation Improvement for Bidur	8,100,000.00	7,520,685.60	ICB	Q2 / 2016	25-APR-16	PCSS0046
W15	Water Supply and Sanitation Improvement for Katharia	1,500,000.00	1,418,537.37	NCB	Q2 / 2017	18-OCT-17	
TSTWSSSP/NCB-02	Water Supply and Sanitation Improvement for	1,000,000.00	1,354,238.82	NCB	Q3 / 2014	18-JUN-15	

	Dhumre						
NCB18	Water Supply and Sanitation Improvement for Katari	5,000,000.00	4,090,649.09	NCB	Q3 / 2016	16-JAN-17	
TSTWSSS P/NCB-04	Water Supply and Sanitation Improvement for Sirahara, Mirchaiya	4,330,000.00	4,554,927.98	NCB	Q3 / 2014	19-OCT-15	
NCB13	Water Supply and Sanitation Improvement for Tamsariya	3,500,000.00	2,827,195.02	NCB	Q3 / 2016	20-SEP-16	
TSTWSSS P/NCB-20/ Amargadhi	Water Supply, Drainage and Sanitation Improvement for Amargadhi Dadeldhura	3,800,000.00	3,062,536.38	NCB	Q3 / 2017	03-NOV-17	
NCB14	Water Supply, Drainage, and Sanitation Improvement for Birendranagar	3,100,000.00	2,396,227.16	NCB	Q3 / 2016	11-SEP-16	
NCB17	Water Supply, Drainage, and Sanitation Improvement for Nirmal Pokhari	3,700,000.00	2,938,000.08	NCB	Q3 / 2016	08-NOV-16	
NCB 16	Water, Supply, Drainage, and Sanitation Improvement for Babiyachaur	1,820,000.00	1,434,551.94	NCB	Q1 / 2016	11-AUG-16	
NCB 18	Water, Supply, Drainage, and Sanitation Improvement for Katari	5,000,000.00	4,090,649.09	NCB	Q1 / 2016	16-JAN-17	
ICB 04	Water, Supply, Drainage, and Sanitation Improvement for Lalbandi	7,900,000.00	6,332,323.89	ICB	Q1 / 2016	02-MAR-17	
NCB 19	Water, Supply, Drainage, and Sanitation Improvement for Suda	3,700,000.00	2,941,784.66	NCB	Q1 / 2016	03-FEB-17	
FSM Related	Additional Works in Charali & Kakarbhitia FSM sites	99,000.00	94,493.55	SHOPPING	Q1 / 2020	30-MAR-20	

Consulting Services

Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
CS-04	Architectural firm for DWSS office Building	100,000.00	105,187.26	QCBS	Q4 / 2014	19-AUG-15	
CS-02	Eastern Region Design, Supervision and Management Consultants	3,190,000.00	2,630,207.60	QCBS	Q2 / 2014	17-JUN-15	
CS-01	Western Region	3,190,000.00	2,632,406.56	QCBS	Q2 / 2014	17-JUN-15	

	Design, Supervision and Management Consultants						
DRTAC-01	Design Review and Technical Audit Consultants	153,000.00	152,452.00	ICS	Q1 / 2016	15-JUN-16	
DRTAC-02	Design Review and Technical Audit Consultants	34,000.00	33,546.00	ICS	Q1 / 2016	10-JUN-16	
DRTAC-03	Design Review and Technical Audit Consultants	30,100.00	30,065.00	ICS	Q1 / 2016	10-JUN-16	
DRTAC-05	Design Review and Technical Audit Consultants	26,500.00	26,405.00	ICS	Q1 / 2016	12-JUN-16	
DRTAC-06	Design Review and Technical Audit Consultants	24,100.00	24,070.00	ICS	Q1 / 2016	10-JUN-16	
DRTAC-07	Design Review and Technical Audit Consultants	18,500.00	18,454.00	ICS	Q1 / 2016	10-JUN-16	
DRTAC-08	Design Review and Technical Audit Consultants	21,500.00	21,420.00	ICS	Q1 / 2016	21-AUG-16	
DRTAC-09	Design Review and Technical Audit Consultants	12,200.00	12,187.00	ICS	Q1 / 2016	21-AUG-16	
35	Institutional Development Support Consultants for TDF	71,857.14	68,455.75	ICS	Q4 / 2014	01-FEB-16	
36	Institutional Development Support Consultants for TDF	71,857.14	65,170.28	ICS	Q4 / 2014	01-FEB-16	
37	Institutional Development Support Consultants for TDF	71,857.14	66,591.60	ICS	Q4 / 2014	01-FEB-16	
38	Institutional Development Support Consultants for TDF	71,857.14	59,010.83	ICS	Q4 / 2014	01-FEB-16	
39	Institutional Development Support Consultants for TDF	71,857.14	64,686.02	ICS	Q4 / 2014	01-FEB-16	
40	Institutional Development Support Consultants for TDF	71,857.14	62,076.21	ICS	Q4 / 2014	01-FEB-16	

D. National Competitive Bidding

National competitive bidding (NCB) shall be in accordance with that described as "by inviting bids and a national level" as set forth in "The Public Procurement Act, 2007" (PPA) and "The Public Procurement Regulations, 2007" (PPR) and subject to the following:

1. The first NCB document for both goods and works procurement shall be reviewed and approved by Asian Development Bank (ADB) prior to issue. These will be used for all NCB procurement under the project. The document shall include qualification and evaluation criteria and ADB's right to audit and inspect in accordance with para 1.14 of ADB's Procurement Guidelines (March 2013, as amended from time to time). No other criteria other than that described in the bidding document may be used to determine the lowest evaluated responsive bidder and no form of domestic preference may be employed. A positive assessment of a bidder's qualifications to perform a contract will be a pre-requisite to an award.
2. All qualified ADB member bidders and ADB member produced goods, services and works shall be eligible. Registration and licensing shall be permitted only as a condition of contract award and not participation in bidding. No award may be withdrawn for failure to obtain tax registration; license or fulfill any similar requirement without ADB's prior concurrence. No bidder will be held ineligible based on provision 63 of the PPA without ADB prior concurrence.
3. Invitations to bid shall be advertised in at least one widely circulated national daily newspaper or freely accessible, nationally-known website allowing a minimum of twenty-eight (28) days for the preparation and submission of bids. Invitations for bids for contracts estimated at \$500,000 or more for goods and \$1,000,000 or more for civil works shall be advertised on ADB's website. No restriction will be placed on the sale of bidding documents.
4. The approved Standing List of a Procuring Entity prepared in accordance with the law shall only be accepted to supplement an advertised open pre-qualification exercise for the specific contract provided that those on the standing list meet the qualification criteria.
5. Bids shall be opened at a single location immediately after the deadline for submission. Multiple locations for submission and opening are not acceptable.
6. Government-owned enterprises in Nepal shall be eligible to participate only if they meet the conditions of para 1.8 (c) of ADB's Procurement Guidelines (March 2013, as amended from time to time).
7. Extension of bid validity of more than 4 weeks beyond the original validity shall not be allowed without the prior concurrence of ADB.
8. Cancellation of bidding and re-bidding shall not be carried out without the prior concurrence of ADB.
9. Percentage variations from rates fixed by a district rate fixation committee shall not be used for the purpose of evaluating bids.

In the event of a conflict between these provisions and the law, these provisions shall prevail.

